Form 210A (10/06)

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

# TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transfered	ļ	Name	of 7	ra	nsfe	re	2
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Name of Transferor

Deutsche Bank AG, London Branch

The Royal Bank of Scotland Plc

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch Winchester House, 1 Great Winchester Street London EC2N 2DB

Tel: +44 20 7547 2400
Fax: +44 113 336 2010
Attention: Michael Sutton
E-mail: Michael.sutton@db.com

Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

See attached Schedule

(plus all interest, costs and fees relating to these claims)

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 1/4 JUNE 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Alex Darbyshire Vice President Simon Glennie Director

ISIN/CUSIP	LBHI Claim Number	Date Claim Filed	Princi	pal/Notional Amoun
XS0331504745				
XS0326608279	40647 41347	Oct 16, 2009	USD	130,000.00
XS0326708491	42084	Oct 19, 2009	USD	140,000.00
XS0349511872	42161	Oct 19, 2009	USD	420,000.00
XS0331769538	43050	Oct 19, 2009	USD	400,000.00
XS0327940929	43114	Oct 21, 2009	USD	250,000.00
XS0334922860	43809	Oct 21, 2009	AUD	130,000.00
XS0326608279	44051	Oct 22, 2009	HKD	500,000,00
XS0348407411	44052	Oct 22, 2009	USD	90,000.00
XS0339560293	44075	Oct 22, 2009	HKD	900,000.00
XS0334922860	44190	Oct 22, 2009	USD	130,000.00
XS0337553688	44192	Oct 22, 2009	HKD	100,000.00
XS0331400530	44193	Oct 22, 2009	USD	100,000.00
XS0331400027	44194	Oct 22, 2009	USD	20,000.00
XS0331769538	44195	Oct 22, 2009	USD	20,000.00
XS0327940929	44291	Oct 22, 2009	USD	40,000.00
XS0339560293	44292	Oct 22, 2009	AUD	150,000.00
XS0339560376	44293	Oct 22, 2009	USD	170,000.00
XS0336927909	44297	Oct 22, 2009 Oct 22, 2009	HKD	370,000.00
XS0341160082	44530	Oct 22, 2009	USD	200,000.00
XS0326026498	44532	Oct 22, 2009	USD	50,000.00
XS0331400530	44993	Oct 23, 2009	USD	1,000,000,00
XS0336415913	44994	Oct 23, 2009	USD	300,000.00
XS0331400027	44995	Oct 23, 2009	USD	260,000.00
XS0326608279 XS0349511872	44996	Oct 23, 2009	USD	300,000.00
XS0349511872 XS0331400530	44997	Oct 23, 2009	USD	330,000.00
XS0331769454	45130	Oct 23, 2009	USD	250,000.00 100,000.00
XS0338525172	45132	Oct 23, 2009	USD	300,000.00
XS0337553688	45133	Oct 23, 2009	USD	180,000.00
XS0341160082	45134	Oct 23, 2009	USD	380,000.00
XS0326026498	45135	Oct 23, 2009	USD	380,000.00
XS0327940929	45136	Oct 23, 2009	HKD	2,900,000.00
XS0336415913	45246	Oct 23, 2009	AUD	200,000.00
XS0336927909	45263 45271	Oct 23, 2009	USD	700,000.00
XS0326608279	45273	Oct 23, 2009	USD	110,000.00
XS0331769538	45275	Oct 23, 2009	USD	130,000,00
XS0325786084	45276	Oct 23, 2009	USD	130,000.00
XS0331769454	45289	Oct 23, 2009	HKD	3,000,000.00
XS0326026225	45296	Oct 23, 2009	USD	160,000.00
XS0326708491	45396	Oct 23, 2009 Oct 23, 2009	USD	70,000.00
XS0331769538	45401	Oct 23, 2009	USD	150,000.00
XS0331769538	45405	Oct 23, 2009	USD	100,000.00
XS0348407411	45443	Oct 23, 2009	HKD	430,000.00
XS0349512250	45444	Oct 23, 2009	HKD	500,000.00
XS0334922860 XS0331760454	45445	Oct 23, 2009	HKD	300,000.00
XS0331769454 XS0336927909	45447	Oct 23, 2009	USD	200,000.00
XS0348407411	45597	Oct 23, 2009	USD	40,000.00
XS0348407411	45751	Oct 26, 2009	HKD	100,000.00 3,000,000.00
XS0336927909	45752	Oct 26, 2009	HKD	1,000,000.00
XS0348407411	45760	Oct 26, 2009	USD	100,000.00
XS0335743398	45766	Oct 26, 2009	HKD	1,000,000.00
XS0336645089	45767	Oct 26, 2009	HKD	500,000.00
XS0348407411	45768	Oct 26, 2009	USD	160,000.00
XS0349512250	45771	Oct 26, 2009	HKD	3,000,000,00
XS0326026225	45777 45799	Oct 26, 2009	HKD	900,000.00
ı	40199	Oct 26, 2009	USD	30,000.00

XS0335743398	45800	Oct 26, 2009	HKD	<b>#0.6 </b>
XS0336250229	45801	2	l l	520,000.00
XS0326866307	45802	Oct 26, 2009	USD	200,000.00
XS0328586515	45803	Oct 26, 2009	HKD	510,000.00
XS0326708491	45804	Oct 26, 2009	USD	20,000.00
XS0331400027	45805	Oct 26, 2009	USD	20,000.00
XS0341160082	45806	Oct 26, 2009	USD	20,000.00
XS0336927909	45807	Oct 26, 2009	USD	20,000.00
XS0335743398	45814	Oct 26, 2009	USD	20,000.00
XS0348407411	45824	Oct 26, 2009	HKD	2,000,000.00
XS0336415913	45836	Oct 26, 2009	HKD	4,000,000.00
XS0326608279	45837	Oct 26, 2009	USD	70,000.00
XS0326026498		Oct 26, 2009	USD	100,000.00
XS0325786084	45838	Oct 26, 2009	HKD	800,000.00
XS0336250229	45839	Oct 26, 2009	HKD	800,000.00
	45843	Oct 26, 2009	USD	160,000.00
XS0326608352 XS0335743398	45854	Oct 26, 2009	HKD	2,000,000.00
XS0332153807	45866	Oct 26, 2009	HKD	1,220,000.00
XS0326865671	45894	Oct 26, 2009	HKD	780,000.00
XS0336415913	45916	Oct 26, 2009	USD	100,000.00
XS0326608352	45918	Oct 26, 2009	USD	70,000.00
XS0329337348	45919	Oct 26, 2009	HKD	500,000.00
XS0349511872	45920	Oct 26, 2009	HKD	400,000,00
XS0331769454	46870	Oct 26, 2009	USD	100,000.00
XS0335743398	46892	Oct 26, 2009	USD	400,000.00
XS0326608352	46918	Oct 26, 2009	HKD	1,000,000.00
XS0338525172	46944	Oct 26, 2009	HKD	2,500,000.00
XS0325786084	47065 47351	Oct 26, 2009	USD	160,000.00
XS0339560376	47352	Oct 26, 2009	HKD	1,200,000.00
XS0349512250	47353	Oct 26, 2009	HKD	500,000.00
XS0331504745	47361	Oct 26, 2009	HKD	1,200,000.00
XS0334922860	47362	Oct 26, 2009	USD	70,000.00
XS0336250229	47373	Oct 26, 2009	HKD	500,000.00
XS0335743398	47374	Oct 26, 2009	USD	80,000.00
XS0325786084	47380	Oct 26, 2009 Oct 26, 2009	HKD	500,000.00
XS0329337348	47501		HKD	500,000.00
XS0331400027	47608	Oct 27, 2009 Oct 27, 2009	HKD	300,000.00
XS0326608352	47619	Oct 27, 2009	USD	120,000.00
XS0326026225	47741	Oct 27, 2009	HKD	5,630,000.00
XS0326866307	47742	Oct 27, 2009	USD	80,000.00
XS0326026498	47750	Oct 27, 2009	HKD HKD	2,100,000.00
XS0331400027	47751	Oct 27, 2009	USD	400,000.00
XS0335743398	47753	Oct 27, 2009	HKD	50,000.00
XS0339560376	47754	Oct 27, 2009	HKD	500,000.00
XS0326608279	47878	Oct 27, 2009	USD	500,000.00
XS0326608352	47879	Oct 27, 2009	HKD	200,000.00 1,500,000.00
XS0326866307	47979	Oct 27, 2009	НКО	540,000.00
XS0331400027 XS0331400530	48604	Oct 27, 2009	USD	30,000.00
XS0331769454	48605	Oct 27, 2009	USD	30,000.00
XS0335743398	48606	Oct 27, 2009	USD	50,000.00
XS0339560293	48608	Oct 27, 2009	HKD	1,000,000.00
XS0334922860	48651	Oct 27, 2009	USD	80,000.00
XS0326608279	48652	Oct 27, 2009	HKD	470,000.00
XS0328586515	48667	Oct 27, 2009	USD	30,000.00
XS0331769538	48744	Oct 27, 2009	USD	200,000.00
XS0336250229	49430	Oct 27, 2009	USD	170,000.00
XS0336927909	49467	Oct 27, 2009	USD	200,000.00
XS0326026498	49518	Oct 27, 2009	USD	180,000.00
	49525	Oct 27, 2009	HKD	650,000.00
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XS0326026225	49575	Oct 27, 2009	luon	
XS0331769538	49580	1	USD	130,000.00
XS0331769454	49965	Oct 27, 2009	USD	100,000.00
XS0325841368	49966	Oct 27, 2009	USD	150,000.00
XS0336927909	50340	Oct 27, 2009	USD	100,000.00
XS0331769538	50382	Oct 28, 2009	USD	180,000.00
XS0334922860	50669	Oct 28, 2009	USD	350,000.00
XS0331400530	50678	Oct 28, 2009	HKD	1,000,000.00
XS0331400027	50684	Oct 28, 2009	USD	140,000.00
XS0336250229	50703	Oct 28, 2009	USD	170,000.00
XS0331400530	50835	Oct 28, 2009	USD	200,000.00
XS0326026498	50836	Oct 28, 2009	USD	50,000.00
XS0335743398	50873	Oct 28, 2009	HKD	500,000.00
XS0331769538	50879	Oct 28, 2009	HKD	1,000,000.00
XS0326026498	50885	Oct 28, 2009	USD	120,000.00
XS0331400530		Oct 28, 2009	HKD	1,500,000.00
XS0331769454	50889	Oct 28, 2009	USD	110,000.00
XS0329337348	50898	Oct 28, 2009	USD	130,000.00
XS0331769538	50899 50904	Oct 28, 2009	HKD	1,000,000.00
XS0369799845	51250	Oct 28, 2009	USD	100,000.00
XS0369799845	51250	Oct 28, 2009	USD	75,000.00
XS0348407411	51601	Oct 28, 2009	USD	80,000.00
XS0334922860	51602	Oct 28, 2009	HKD	500,000.00
XS0349512250	51628	Oct 28, 2009	HKD	1,000,000.00
XS0327940929	51772	Oct 28, 2009	HKD	1,800,000.00
XS0331504745	51773	Oct 28, 2009	AUD	200,000.00
XS0331769538	55132	Oct 28, 2009	USD	130,000.00
XS0369799845	55137	Oct 29, 2009	USD	220,000.00
XS0331400530	55154	Oct 29, 2009	USD	65,000.00
XS0349511872	55213	Oct 29, 2009	USD	540,000.00
XS0331400027	55214	Oct 29, 2009 Oct 29, 2009	USD	500,000.00
XS0349511872	55222	Oct 29, 2009	USD	960,000.00
XS0336250229	55282	Oct 29, 2009 Oct 29, 2009	USD	150,000.00
XS0325786084	55283	Oct 29, 2009 Oct 29, 2009	USD	60,000.00
XS0326608279	56038	Oct 29, 2009	HKD	500,000.00
XS0336645089	56521	Oct 29, 2009	USD	200,000.00
XS0331400530	56522	Oct 29, 2009	USD	150,000.00
XS0331504745	56727	Oct 29, 2009	USD	140,000.00
XS0336927909	56728	Oct 29, 2009	USD	80,000.00
XS0327940929	56967	Oct 29, 2009	AUD	70,000.00
XS0331769454	56968	Oct 29, 2009	USD	280,000.00
XS0325841368	57068	Oct 30, 2009	USD	20,000.00
XS0326608279 XS0332153807	57070	Oct 30, 2009	USD	100,000.00
XS0327940929	57072	Oct 30, 2009	HKD	220,000.00
XS0348407411	57100	Oct 30, 2009	AUD	800,000.00
XS0336250229	57110	Oct 30, 2009	HKD	200,000.00
XS0331400530	57134	Oct 30, 2009	USD	50,000.00
XS0326608436	57135	Oct 30, 2009	USD	40,000.00
XS0327859301	57136	Oct 30, 2009	USD	50,000.00
XS0349511872	57137	Oct 30, 2009	HKD	580,000.00
XS0336250229	57187	Oct 30, 2009	USD	90,000.00
XS0325841368	57196	Oct 30, 2009	USD	20,000.00
XS0326608279	57198	Oct 30, 2009	USD	40,000.00
XS0326608436	57199	Oct 30, 2009	USD	30,000.00
XS0339560376	57209	Oct 30, 2009	USD	120,000.00
XS0336927909	57210	Oct 30, 2009	HKD	1,030,000.00
XS0326608436	57212	Oct 30, 2009	USD	100,000.00
XS0334922860	57213 57230	Oct 30, 2009	USD	150,000.00
J.	3/230	Oct 30, 2009	HKD	500,000.00
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XS0325786084	57231	Oct 30, 2009	lukn	
XS0326708491	57232	1	HKD	620,000.00
XS0338525172	57235	Oct 30, 2009	USD	40,000.00
XS0331769538	57238	Oct 30, 2009	USD	100,000.00
XS0350571377	57257	Oct 30, 2009	USD	130,000.00
XS0326608279	57259	Oct 30, 2009	USD	130,000.00
XS0336415913	57260	Oct 30, 2009	USD	130,000.00
XS0331400530	57262	Oct 30, 2009	USD	50,000.00
XS0331504745	57263	Oct 30, 2009	USD	70,000.00
XS0336645089	57264	Oct 30, 2009	USD	30,000.00
XS0326608436	57265	Oct 30, 2009	USD	150,000.00
XS0336927909	57266	Oct 30, 2009	USD	100,000.00
XS0325786084	57269	Oct 30, 2009	USD	210,000.00
XS0331400027	57270	Oct 30, 2009	HKD	1,000,000.00
XS0327940929	57332	Oct 30, 2009	USD	50,000.00
XS0325841368	i	Oct 30, 2009	AUD	70,000.00
XS0339560376	57333	Oct 30, 2009	usp	70,000.00
XS0326866307	57718	Oct 30, 2009	HKD	500,000.00
XS0339560376	57719 57721	Oct 30, 2009	HKD	500,000.00
XS0369799845	57722	Oct 30, 2009	HKD	200,000.00
XS0332153807	57751	Oct 30, 2009	USD	65,000.00
XS0326608436	57752	Oct 30, 2009	HKD	800,000.00
XS0336645089	57753	Oct 30, 2009	USD	80,000.00
XS0337553688	57754	Oct 30, 2009	USD	60,000.00
XS0341160082	57755	Oct 30, 2009	USD	30,000.00
XS0349512250	60811	Oct 30, 2009	USD	30,000.00
XS0331769454	60815	Nov 02, 2009 Nov 02, 2009	HKD	600,000.00
XS0334922860	60836	Nov 02, 2009 Nov 02, 2009	USD	210,000.00
XS0348407411	60837	Nov 02, 2009	HKD	250,000.00
XS0336927909	60842	Nov 02, 2009	HKD USD	700,000.00
XS0331769454	60846	Nov 02, 2009	USD	150,000.00
XS0334922860	60852	Nov 02, 2009	1 7	150,000.00
XS0335743398	60853	Nov 02, 2009	HKD	890,000.00
XS0349511872	60854	Nov 02, 2009	HKD	1,000,000.00
XS0326866307	60857	Nov 02, 2009	HKD	500,000.00
XS0335743398	60914	Nov 02, 2009	HKD	600,000.00
XS0332153807	60915	Nov 02, 2009	HKD	250,000.00
XS0329337348	60916	Nov 02, 2009	HKD	600,000.00
XS0326866307	60917	Nov 02, 2009	HKD	1,200,000.00
XS0326608352	60918	Nov 02, 2009	HKD	650,000.00
XS0325786084	60919	Nov 02, 2009	HKD	890,000.00
XS0326026498	60920	Nov 02, 2009	HKD	1.080.000.00
XS0349511872	60921	Nov 02, 2009	USD	1,500,000.00 130,000.00
XS0339560293	60922	Nov 02, 2009	USD	310,000.00
XS0337553688 XS0336927909	60923	Nov 02, 2009	USD	60,000.00
XS0336645089	60924	Nov 02, 2009	USD	70,000.00
XS0336250229	60925	Nov 02, 2009	USD	210,000.00
XS0336250229 XS0331769538	60928	Nov 02, 2009	USD	190,000.00
XS0331769454	60929	Nov 02, 2009	usp	50,000.00
XS0331400027	60930	Nov 02, 2009	USD	80,000.00
XS0326608279	60931	Nov 02, 2009	USD	50,000.00
XS0325841368	60932	Nov 02, 2009	USD	150,000.00
XS0326865671	60933	Nov 02, 2009	USD	250,000.00
XS0328586515	61149	Nov 02, 2009	USD	320,000.00
XS0326866307	61150	Nov 02, 2009	USD	300,000.00
XS0326865671	61312	Nov 02, 2009	HKD	500,000.00
XS0331400027	61319	Nov 02, 2009	USD	130,000.00
XS0326026498	62934	Nov 02, 2009	USD	70,000.00
1	62935	Nov 02, 2009	HKD	400,000.00

Nov 02, 2009 Nov 02, 2009 Nov 04, 2009 Nov 05, 2009 Oct 26, 2010	USD HKD HKD	40,000.00 190,000.00 600,000.00 130,000.00
	Nov 02, 2009 Nov 04, 2009	Nov 02, 2009 USD Nov 04, 2009 HKD Nov 05, 2009 USD

# AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

- For value received, the adequacy and sufficiency of which are hereby acknowledged, THE ROYAL BANK OF SCOTLAND PLC ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to DEUTSCHE BANK AG, LONDON BRANCH (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule I hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"), (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.
- Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell. transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer; (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10<sup>th</sup>, 11<sup>th</sup> and 12<sup>th</sup> distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as <u>Schedule 2</u>; (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7th, 8th, 9th, and 10th distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.
- 3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by

DB Ref: 19881

Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

- 4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.
- 5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchaser. Seller has transferred, Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement documentation or any applicable rules of Euroclear or Clearstream (or similar transfer of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.
- 6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.
- 7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this  $\frac{1L_c}{day}$  day of  $\frac{J04E}{day}$  2017.

THE ROYAL BANK OF SCOTLAND PLC

Name: CHRIS ANDREWS

AUTHORISED SIGNATORY

DEUTSCHE BANK AG, LONDON BRANCH

Alex Darbyshire Vice President

By:\_\_\_ Name: Title:

By: Name Simon Glennie Director

Winchester House 1, Great Winchester Street London EC2N 2DB ENGLAND

Attn: Michael Sutton

# Transferred Claims

# Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at <u>Schedule 2</u>) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

See attached spreadsheet, which describes:

- Description of Security Issued by Lehman Brothers Treasury BV
  - ISIN/CUSIP
- Blocking Number
  - Issuer
- Guarantor
- LBHI Claim Number
- Principal / Notional Amount
  - Allowed Amount
    - Maturity
- 10th, 11th and 12th Distributions made by LBHI

Destription of Security	ISINCUSIP	Blocking	Istaler											
		Number		Cuarantor	C Talin	Principal/Notional		Allowed Amount	Maturity	LBHI 12th		LBHITIGE	LBHH 101h	12
					Number					Distribution		Distribution	Distribution	rion
LB 1Y USD Range Accital Note St77 23NOV09	X\$0331504745	CA38048	I chman Brothers Transme Ca. Dr.	╁		1	-							
LB 1Y USD Range Account Note 5797 27OCT 09	NS0326608279	CA31792	I chman Brothage Teamer Co. Dr.		1007		OSO OSD	59,113 51	23NOVB9	USD SHE	0.22 USD	997 69	05.2	378 PM
LB 1Y USD Range Acertal Note S798 270CT 09	XS1326708191	CA30399	5	Lenman Brothers Holdings Inc	1313			15,429 vist	270CT09	1:SD 5:61	22		95.1	118.45
UBAY USD Daily Callable Fixed Coupon Note 5081 05MAR09	9 XS0340511872	CA31689					_	217.12 12	MOUNT	CSD 1.859	SSU 38 USD	r.i	r.SD	388 61
LE 21. U.D. Arthag Range Accres Note \$889 23NOVO	NS9331769538	CA38226	٥	Things Brothers Defended	97			233,452, 91	05MAR09	_	OSO IE ono	3,624.92	CSD	103.01
to accurate Accuration of San USNOVO9	XS1327940929	CA31432		Lehman Brothers Holders Inc				11,005 52	SANOVE	-	USD 573 USD	1,619'86	CSD	121.37
TO 15 THE MANGE ACCURA NOTE STATE 14 DECTO	XX0334922860	CA31759	ಲಿ	Lehman Brothers Holding Inc		AUD CALIBRIAN		55,611 78	MONSH		_		CSD	355 66.
The restriction of the Control of th		CA37898	Lehntan Brothers Treasury Co. BV	Leliman Brothers Holdage for		ŗ.		33,633 11	14DEC#9		_	37816	CSD	215-10
The it tink that it attack fixed Coupon Note S174 HINLARDY		CA38409		Lehman Brothers Heidense Inc				42,062-14	270(10%		See 20 USD	172.94	r.sn	269 00
Lo at Call Kinge Accital Note Sid4 (8JAN)	XX039560293	CA47030	Lehman Brothers Freaun Co BV	Lehman Brothers Holders Inc.				67.018 ST	02MAR09		_	753.55	CSD	19 SEE
LB 23 HKD Range Acertal Note 8936 14DEC09	XS133492286B	CA4677#		Thomas Brothers Clark				57,230-12	BAMNIB	(SD 199)	490 52 USD	\$9779	(SD	366.33
UB 27 USD Arriag Range Accrual Note S/70 31DEC09	XS0337553688	CAskata		Atmost Designation of the		_		0,720 62	14DEC09	ts:D 57	57 to USD	75.63	GS,1	13.02
LB 2Y USD Airting Range Accrual Note Siyo 1 23NOV@	XS0331400530	CA46560	ع ا	t alternation of the state of t	=			48.976 GI	31 DEC 09.	701 dS.)	402 21 USD		CSD	3110-43
L.B. C. D. Ouring Range Accres Note S190 23NOV09	XS:4331400027	CA46574		Church Resident Holder 1				10,246 39	POYONES	(SD 87	87.74 (150)	115.20	CSD	65.53
LB 21 USD Airbag Range Accrual Note SR89 21NOVU9	XS0331769538	CAJICSI	3	The state of the s				11289 56	23NOVP9	USD 96	OSD 80 96	126 93	GS:	72.20
LB 2Y AUD Range Accrual Note \$830 05NOVDO	XS0327940929	CASSOOS	ع	Comman Brothers Hollang Inc			_	21,050,28			107.31 (150)	254.17	(S	
1.8 27 USD Range Accrual Note Stot 18JAN10	XS0339560293	CA38434	3	Tobass Brokes Hollands Inc		_		64,167,44	62NOV80	ets ogn	S20 51 USD	721 49	GSD	410.38
LB 27 HKD Range Accrual Note Sitts (SJAN)0	XS03349560376	CA38423	3	ייביוווידיו פוסוובנא וופושעולא וויכ				14 004 22	HANNED I	25 643 46	usa y	842.22	0	470.05
LB 2V USD Range Acertal Note S959 29DEC09	XS133n9279n9	CA46940	Ethina Reather Transce Co ny	Leuman oronora Hononga Inc		_	(in USD	21,068 52	18JANIO	CSD 1810 42	12 (150	236.89	GS.	17.1 7.1
LB 29 USD Airbag Range Acertal Note State 25JAN in	XX0341160082	CA31737	3	Leaman Brothers Holdags Inc		6.1	en CSD	116 385 418	SUDJECTION (	USD 40% 68		1 308 62	9	7
LB 27 HKD Airbay Range Accreal Noise 5779 230CT09	XS03.26026-19X	CA31713	Column Brothers Transaction	Lenman Brothers Holdings Inc			do CSD	21.818 03	15JAN10			245 33	6	130 51
LB 2Y USD Airbay Range Acertai Note S891 23NOV09	XS0331400530	CASS345	Change Brothers Treatment Co. By	Lehman Brothers Holdings Inc		_		70,343,800	23OCT 09			-		507 44
LB 23 USD Range Action Note S949 (7DEC09	NS0336415913	CA55020	3	tenman promers florangs inc			GSD GR	153,645 8.5	13NOVE	USD 1,316.29	-			982 46
LB 27 USD Airbag Range Acertal Note Shim 23NOV09	XS0331400027	CA55316	man Brathage Treasure C-	Lenman Brothers Holdings inc			E30	26,233.94	TIDECON I	3SD 6,52.87		_	6	187.57
LB 2Y USD Range Accrual Note S797 270CT 09	XS0326008279	CASSU2	man Brothers Treatmen Co	Lenman Brothers Holdings Inc			<b>G</b> S =	169.345 43		00 03F1 QSO			-	183 03
LB 17; USD Dash, Callable Freed Coupon, Note 5081 05MAR09	XS0349511872	CA55189	Brother Tearn C	Lenman Brothers Holdings Inc			0 <b>.50</b>	154,227 84	270CT09	USD 1.326.75			•	586 36
LB 23 USD Arring Range Actrial Note SW1 23NOV09	XS0331400530	CA31922	3	Lenman drothers Holdings Inc.				145,908.07	GENERARO L	USD 1,249 51	51 130	_		911 15
LB 2Y USD Ariong Range Acertal Note S888 13NOV09	NS0331769454	CA46546	i	Lennant bronners Holmage Inc				51,331 9.5	-	USD 438 73	73 L'SD		GS)	327.65
LB 2Y USD Arriag Range Acertal Note S983 04JAN10	XS0338525172	CA40976	٠	Leaman Brothers Hothags Inc.				164.319 53	HUDNET I	81 (01°) (S)	_			050 60
LB2Y USD Arring Range Acertal Nate S970 31 DEC09	XS0337553688	C Admits	3.3	Lemma Broken trait				84,288,48	J OLANG	28 122 <b>()</b> 80	CSO ES			534 Ore
LB 23 USD Arring Range Acertal Note S026 25JAN in	XS0341160082	CA47054	tan Biothers Treasure Co	Comman Groups House				178,511 12		USD 1,528.71	TI USD	2,007 16 1	USD 1	.141 68
LB 27 HKD Antog Range Acertal Note S779 230CT 09	X80326026493		3						_	90 (CF) 080	OSD W	J 18 F98"1	CSD	1,060.52
LB 23 ACD Range Accrual Nate SS30 95NOAns	vgoulavittus.	CALTONA	ع :		95157				_		tr USD	2,587 19 U	CSD 1.	. 471 58
LEST USD Range Accred Nate State (7DEC)	Signature Name of the State of	CA0-4827	8				-				OS IT SE	3 06 196	(.50	547.17
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I BAY (197) BATTER AND A ACCIDENTED TO A 23NO V89	NS1331769538	=	Lehman Brathers Treasury, Co. BV			Transmitted				_			(SD	388 50
BAVISO Melo December 1991	NS0325786084		Lehman Brothers Treasury Co BV			'n	3 5				-	-		17% 11
LB 27 USD Range Accord Note Court and Court of	X80331769454		Lehnian Brothers Freasure Co BV					201,036.27	MOCTON III	08.47.		-	-	,328 Uh
LB 2Y USD Range Acertal Note 5748 270CT 09	C2202002000	==-	ತಿ							- Table 19:	3 5	385.58		ST (535
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LB 2V USD Archag Pange Accrual Nate (N89 23NOV99)		-	Akman Doublets I ready to BV			) Tue our on	nsn i	57,626,24	_=					3,68 %,0
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LB 3Y HKD Range Accrual Note 8936 14DEC69		-	1 1 2					22,482,84	USNIAROO (USD	D 192.53	3 CSD			143.78
LB 2V USD Airlag Range Accrual Note S888 23NOver				chara Bestern Batter					HDECON CSD	D 115.20	o uso	-		86.0.4
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Schedule 1

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Schedule

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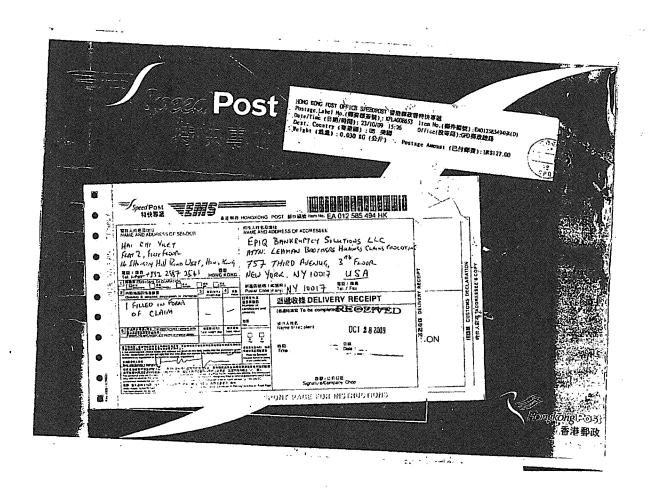
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Schedule 1

Schedule 2

Copies of LBHI Proofs of Claim

United State	s Bankruptcy Court/Southe	rn District of New York		
Lehman Bro c/o Epiq Ban FDR Station	thers Holdings Claims Proce kruptcy Solutions, LLC , P.O. Box 5076 JY 10150-5076			CURITIES PROGRAMS OF OF CLAIM
In Re:	hers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		C - Southern District of New York I Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000051772
based on L	form may not be used to chman Programs Secur <u>.lchman-docket.com</u> as	o file claims other than those ities as listed on of July 17, 2009		
TIAT CUT IN	tren	and address where notices should be	•	Check this box to indicate that this claim amends a previously filed claim.
FLAT 14 SHO Telephone nu	ouson Hill Ron	OOR  D WEST, HONG KONG  2541 Email Address: hai.	i hindstold	Court Claim Number:(If known)
Name and add	dress where payment should	be sent (if different from above)	ent gettlæhph.	Check this box if you are aware that
Telephone nu	mber:	Email Address:		anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
and whether s dollars, using	uch claim matured or became the exchange rate as applica	im based on Lehman Programs Securi 1908, whether you owned the Lehman to fixed or liquidated before or after S	Programs Securities on September 15, 2008. The claim a	the amount owed under your Lehman er 15, 2008 or acquired them thereafter, mount must be stated in United States nore than one Lehman Programs Security, s.
Creditor holds of the Lehman	AUD 200, 000 (equivalent Programs Security describe	to USD 161, 300, 00 at the conversion of the description of the description of the description of the USD 161, 300, 00 at the conversion of the USD 161, 300, 300, 300, 300, 300, 300, 300, 30	n rate of AUD/USD 0.8065 as at ount to be determined.	15 September 2008) in aggregate face value
Amount of C	aim: S(as state	ment above) (Requir	red)	
T. TIOYIUCI	respect to more than one L	includes interest or other charges in a dentification Number (ISIN) for each chman Programs Security, you may at	Lohman Decommon Canada, ta	ue on the Lehman Programs Securities.  nich this claim relates. If you are filing relates to the Lehman Programs Securities to
	Securities Identification N		940929	(Required)
Number from	our accountholder (i.e. the		for which you are filing a claim.	
Clearstream E number:	lank Blocking Number, Eu CA35334	roclear Bank Electronic Instruction	n Reference Number and or oth	er depository blocking reference
	ne Clearstream Bank, Euroc			r Lehman Programs Securities for which ticipant account number from your ould not provide their personal account
Accountholder	s Euroclear Bank, Clearst 89529	ream Bank or Other Depository Pa (Required)	rticipant Account Number:	
disclose your id	are deemed to have authorize entity and holdings of Lehm	ream Bank or Other Depository: B ed, Euroclear Bank, Clearstream Ban am Programs Secunities to the Debtor	k or other denocitorius	FOR COURT USE ONLY
reconciling clair Date.	ms and distributions.			FILED / RECEIVED
13 Oct 2009	number if different from the	ng this claim must sign it. Sign and p n authorized to file this claim and state ne notice address above. Attach copy	e address and telephone of power of attorney, if any.	OCT 28 2009
	1'thehm		CHI YUET	EPIO BANKRUPTCY SOLUTIONS, LLC



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United States	s Bankruptcy Court/South t	rn District of New York				
Lehman Brot	hers Holdings Claims Proce	ssing Center	LEHMAN SEC	CURITIES PROGRAMS		
FDR Station.	kruptcy Solutions, LLC P.O. Box 5076			OF OF CLAIM		
New York, N	Y 10150-5076					
In Re:	hers Holdings Inc., et al.,	Chapter 11	Filed: USBC	Southern District of New York		
Debtors.	ners from nigs me., et al.,	Case No. 08-13555 (JMP) (Jointly Administered)	Lehman B	rothers Holdings Inc., Et Al.		
			211 14 SIMMAN	0000051773		
Note: This	form may not be used t	o file claims other than those				
http://www	ehman Programs Secur <u>Jehman-docket.com</u> as	of July 17, 2009				
WEI FU-TI		e and address where notices should be se	nt it different from Creditor)	Check this box to indicate that this		
2FNO	IN ALLEYS LA	WE 77 SEC / HAIG-	CHNIL C AN	claim amends a previously filed claim.		
THONG	THENG DIST	THING! CITY INT TAIN	MINUL S. N.D.	Court Claim Number;		
	J. 101.9 218 1.	Weifut	ien 19@yahoo.	(If known)		
Telephone nu	mber: 886-2-23	TAIPEI CITY 106 TAIN WEIGHT	om. ew	Filed on:		
Name and add	lress where payment should	be sent (if different from above)		☐ Check this box if you are aware that		
				anyone else has filed a proof of claim relating to your claim. Attach copy of		
Telephone nu	mhou.	What Mark Park		statement giving particulars		
		Email Address:				
1. Provide Programs Seco	the total amount of your cla	im based on Lehman Programs Securitie	s. Your claim amount must be	the amount owed under your Lehman		
mie introduct a	son claim materice of becar	ic tixcu of libitioated netore or after Sen	tember 15 2000 Thadlaim .	er 15, 2008 or acquired them thereafter,		
dendia, dance	uic cachange rate as appine	inic on Sentember 15, 700x, it vou see to	ling this alnim with normant to			
you may attac	r a schedule with the claim	amounts for each Lehman Programs Sec	curity to which this claim relate	es.		
Creditor holds USD 130, 000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.						
Amount of Cl	aim: \$ (as state	ement above) (Required		İ		
			•			
<ol> <li>Check thing</li> <li>Provide to</li> </ol>	s box if the amount of claim	includes interest or other charges in add	dition to the principal amount o	lue on the Lehman Programs Securities.		
A. I TOVIDE	ne miemanonai accumies .	dentification Number (ISIN) for each Le chman Programs Security, you may atta	shown Dramma C.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
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as appropriate	ne Clearstream Bank Block (each, a "Blocking Number	ing Number, a Euroclear Bank Electroni ") for each Lehman Programs Security for	c Reference Number, or other	depository blocking reference number,		
Transfer HOME	rous accountatoract the me	DANK. DIOKET OF OTDER ENTITY that halde ex	ich consumition nursure 1 1 10 1	10		
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Clearstream B number:	lank Blocking Number, E	roclear Bank Electronic Instruction l	Reference Number and or oth	er depository blocking reference		
	CA22727	(Required)				
4. Provide th	ie Clearstream Bank Furoe					
				r Lehman Programs Securities for which		
accountholder ( numbers.	i.e. the bank, broker or other	r entity that holds such securities on you	or behalf). Beneficial holders sh	nould not provide their personal account		
				1		
Accountholder	s Euroclear Bank, Clears	tream Bank or Other Depository Part	icipant Account Number:	<u> </u>		
	89529	(Required)				
5. Consent t	o Euroclear Bank, Clears	tream Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY		
consent to, and	are deemed to have authorize	zed, Euroclear Bank, Clearstream Bank	or other depositors to			
econciling clai	entity and noidings of Lehr ms and distributions.	nan Programs Securities to the Debtors f	or the purpose of	FILED / RECEIVED		
Date.	Signature: The person fil	ing this claim must sign it. Sign and prir	it name and title if any of	THE COLUMN TO TH		
Litalon	the creditor or other perso	n authorized to file this claim and state a	iddress and telephone	007 9 9 9999		
77,	number if different from t	he notice address above. Attach copy of	power of attorney, if any.	OCT 28 2009		
2009		親高田				
7				EFEO BANKSUPTEY SOLUTIONS, LLC		

## INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptey Procedure (FRBP) 2002(g).

#### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### DEFINITIONS

#### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

#### Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

#### Lehman Programs Security Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17,

## INFORMATION

Acknowledgment of Filing of Claim To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docker.com) to view your filed proof of claim.

## Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptey court.

Wathaning Charman		
KatharineCheung	Clamatin D. 11	
	Clearstream Banking - CreationOnline	
	- CreationOffinie	5:43 AM CET
		J.43 MINI CEI

Security Post Release Trade Details		
Type of Settlement Transaction	Consider District	
Pool Reference	Securities Blocking	
Function of Message	Alai M	
Instruction Type	New Message	
Activity-Status	Receive Free	
ISIN Code	Settlement-Settled	
Common Code	XS0331504745	
Financial Instrument Description	033150474	
Place of Safekeeping	USD FL.R LEHMAN BROS.TREAS 07-2009	
Common Code Type	iRVTGB2XGPT	
Reason-Narrative	Single	
Sender's Reference	MANDER	
Last Update	NONREF	
Previous Reference	10/6/09 9:58 AM	
Deal Reference		
Common Reference		
Safekeeping Account	DOCAC COLORS	
Quantity of Financial Instrument	89529 - ABN AMRO (HK) NOM,-CONS, BKING CLT	
Quantity Type	130,000	
Processing Indicator	Face Amount	
Requested Settlement Date		
Frade Date/Time	10/6/09	
Closing Date	10/6/09	
Priority		
Settlement Amount	Normal Priority	
Currency		
Suaranteed Delivery		
Deal Price	No	
Peal Price Currency		
Peal Price Corrency		***************************************
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axable Income Per Share Currency		
axable Income Per Share Currency axable Income Per Share Format		
X Currency		
elivery Without Matching		
eal	Yes	
ano		
ommon	CA22727	
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clual Settled Amount		
xp./Eff. Settlement Date xp./Eff. Value Date	10/6/09	
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earstream Depository		
C Address	[68] BKNY MELLON, LDN	
ace of Settlement	IRVTGB2XGPT	
	CEDELULLXXX - Clears tream	
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mediate Release	No	
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KatharineCheung		
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Additional Information			70011117
Input Media	Internal		
References:			
Туре	Reference		
Clearstream	02LYKC000441		
CANotification	000000065490592		

## Royal Preferred Banking



ABN AMRO Bank N.V.

WEI FU-TIEN 2F NO 1-1 ALLEY 5 LANE 77 SEC 1 HANGJHOU S RD JHONGJHENG DIST TAIPEI CITY 100 TAIWAN (Ref. no.: 0000116754\_879)

16 October 2009

Dear Customer,

#### Bankruptcy of Lehman Brothers - Filing of Proof of Claim Form

We are in receipt of the "Letter of Agreement" by which you requested the Bank's assistance with preparing a claim in the Lehman bankruptcy legal proceedings.

Enclosed is a "Lehman Program Securities Proof of Claim" prepared for each series of notes you hold which have been listed in the Lehman Program Securities by which you can file your claim.

To help you verify and complete the information on the form, we attach a "Guidance Note to Lehman Program Securities Proof of Claim" on how the Proof of Claim Form should be completed, together with the supporting information related to the notes you hold. You are strongly advised to seek independent legal advice if you have any questions or as you consider appropriate.

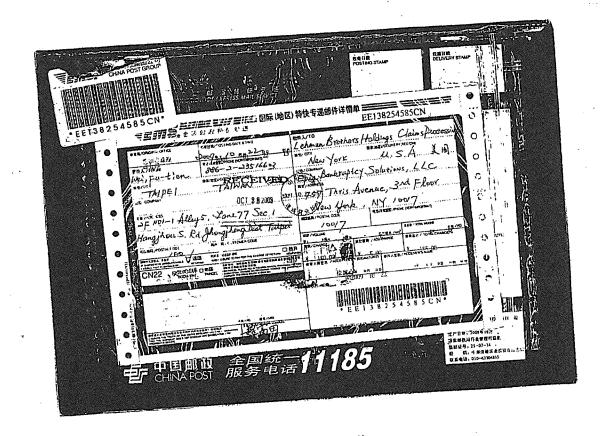
#### Important Steps

- The form/s is/are prepared on your behalf based on the information we have in our records. It is
  important that you <u>read the documents carefully</u> and <u>verify the information</u> against the supporting
  information provided by the Bank.
- You must complete the rest of the claim form/s and must write in English. If it is needed, you can make
  manuscript amendment to the form, or if you prefer, you can also download and print out a blank form
  from <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> by clicking on the "Bar Date Information and Forms" section.
- 3. To file the claim, you must return the signed original Proof of Claim form/s to the "Lehman Brothers Holdings Claims Processing, c/o Epiq Bankruptcy Solutions, LLC" at the address set out in the attached Guidance Note on or before the filing deadline on 2 November 2009 at 5:00 (ET). Please make a copy for your own record. A pre-addressed envelope is enclosed for your convenience. If your claim form/s is/are not received by Epiq Bankruptcy Solutions on or before the above filing deadline, your claim will not be accepted, nor will you be entitled to receive any return on or distribution with respect to any claims if allowed.

Please refer to the Guidance Note for details. For more information related to the filing of claims in the Lehman bankruptcy proceedings, you can also refer to <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a>. In the meantime, should you have any questions, please contact our Relationship Manager or Customer Service at +852 2176 8822.

Yours faithfully,

ABN AMRO Bank N.V.



United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center c/o Epiq-Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM Filed: USBC - Southern District of New York
In Re: Leliman Brothers Holdings Inc., et al., Debtors.  Chapter 11 Case No. 08-13555 (IMP) (Jointly Administered)	Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000055132
Note: This form may not be used to file claims other than those	
based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009	THIS SPACE IS FOR COURT USE UNLY
Name and address of Creditor: (and name and address where notices should be sent in	f different from Creditor)           Check this box to indicate that this
HU BSIULI FLAT B, 7/F, BLOCK 1.  HILLTOP GDNS. 33 FUNG SHING S  KOWLOON, HONG KONG	Claim amends a previously filed claim.  Gourt Claim Number: ((f.known)
Telephone number: (+B5 2)9630 - 1093 Email Address: Jpcheng 1	20@gmail.com Filed on:
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of
Telephone number: Email Address:	statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securities. It Programs Securities as of September 15, 2008, whether you owned the Lehman Program and whether such claim matured or became fixed or liquidated before or after September 15, 2008. If you are filing you may attach a schedule with the claim amounts for each Lehman Programs Securit Creditor holds USD 220, 000 in aggregate face value of the Lehman Programs Securited.	ams Securities on September 15, 2008 or acquired them thereafter, ber 15, 2008. The claim amount must be stated in United States
determined.	
Amount of Claim: \$ (as statement above) (Required)	
Check this box if the amount of claim includes interest or other charges in addition.  Provide the International Securities Identification Number (ISIN) for each Lehm this claim with respect to more than one Lehman Programs Security, you may attach a which this claim relates.	on Drawnens Committee will be at 1 to 1 to 1 to 1
International Securities Identification Number (ISIN): X\$03317695	18 (Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reas appropriate (each, a "Blocking Number") for each Lehman Programs Security for in Number from your accountholder (i.e. the bank, broker or other entity that holds such to more than one Lehman Programs Security, you may attach a schedule with the Blocklaim relates.	eference Number, or other depository blocking reference number, which you are filing a claim. You must acquire a Blocking securities on your behalf). If you are filing this claim with respect king Numbers for each Lehman Programs Security to which this
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction References:  CA55036 (Required)	rence Number and or other depository blocking reference
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account of the clear that the country of the c	ank or other deposite an another and a control of
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Particip	ant Account Number:
89529 (Required)	
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By film consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or o disclose your identity and holdings of Lehman Programs Securities to the Debtors for dreconciling claims and distributions.	ther depository to he purpose of
Date. Signature: The person filing this claim must sign it. Sign and print no the creditor or other person authorized to file this claim and state additional state additional state.	ame and title; if imy; of
number if different from the notice address above. Attach copy of pov	ess and telephone ver of attorney, if any.  EPIO BANKRUPTCY SOLUTIONS, LLC
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#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

#### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and fille, if any, of the creditor or other person authorized to file this claim, State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### DEFINITIONS

#### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

#### Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See Ti U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

#### Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security
Any security included on the list designated
"Lehman Programs Securities" available on
<a href="http://www.tehman-docket.com">http://www.tehman-docket.com</a> as of July 17,
2000

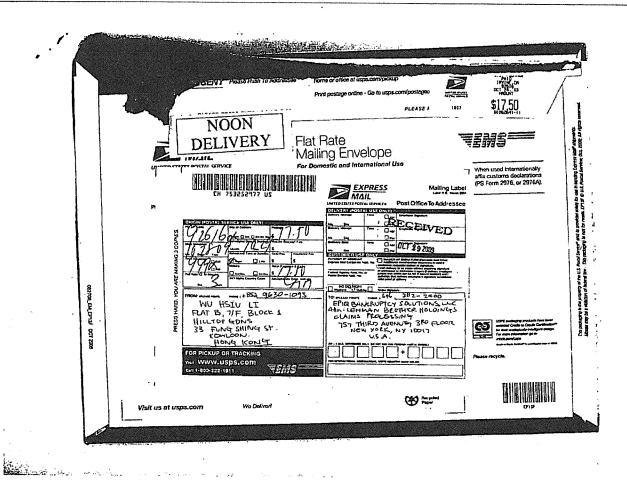
#### INFORMATION

Acknowledgment of Filing of Claim
To receive acknowledgment of your filing, you
may either enclose a stamped self-addressed
envelope and a copy of this proof of claim, or you
may access the Claims Agent's system
(http://www.lehman-docket.com) to view your
filed proof of claim.

#### Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(c), any applicable provisions of the Bankruptcy Code (1) U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

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United States	Bankruptcy Court	/Souther	n District of New Yo	rk			
Lehman Brott c/o Epiq Bank FDR Station, New York, N	ners Holdings Clain cruptcy Solutions, I P.O. Box 5076 Y 10150-5076	ns Proces			LEH		CURITIES PROGRAMS OF OF CLAIM
In Re: Lehman Broth Debtors.	ers Holdings Inc.,		Chapter 11 Case No. 08-13555 (Jointly Administered			Lehman B	- Southern District of New York rothers Holdings Inc., Et Al. 08-13555 (JMP) 0000055137
based on Le	orm may not be hman Programs lehman-docket.c	Securit	file claims other ( fies as listed on of July 17, 2009	than those			
Name and add	ress of Creditor: (a	nd name	and address where n	otices should be	cent if different	from Canditan)	I Charlette and the second
YEOH POO	ISENG & LAI K	AM KW	<b>'1</b>	onices should be	sent it unterent	irom Creditor)	Check this box to indicate that this claim amends a previously filed claim.
HONG H						• ,	Court Claim Number: (If known)
Telephone nur	nber: (852) 9	8394	922 Email Ad	dress: gary	. yeohegn	rail com	Filed on:
Name and add		t should	be sent (if different f Email Ad				☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
1. Provide programs Section and whether sidellars, using	the total amount of crities as of Septem ich claim matured of the exchange rate as	or became applicat	m based on Lehman 008, whether you ow e fixed or liquidated	Programs Secur ned the Lehmar before or after S	eptember 15, 200	offices on Septemb	the amount owed under your Lehman er 15,2008 or acquired them thereafter, mount must be stated in United States nore than one Lehman Programs Security, s.
Creditor holds determined.	USD 65,000	in aggreg	ate face value of the	Lehman Progra	ms Security desc	ribed herein, and	asserts a claim in an amount to be
			ment above)		•		
☑ Check thi	box if the amount	of claim	includes interest or o	ther charges in	addition to the pr	incipal amount d	ue on the Lehman Programs Securities.
	respect to more tha	-umines n	iemmication ivoranci	TININ LIGHT BOOK	I shown Drogens	ne Continue to sail	nich this claim relates. If you are filing or the Lehman Programs Securities to
International	Securities Identifi	ation N	umber (ISIN):	XS03697998	45		(Pagning)
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Clearstream B number:					n Reference Nu	mber and or oth	ner depository blocking reference
4 6	CA7393		· · · · · · · · · · · · · · · · · · ·	Required)			
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Accountholder			rcam Bank or Othe	r Depository Pa	articipant Accou	int Number:	
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Date.	<u> </u>		no this claim	om it Ciari 1			
26 Oct 2009	the creditor or our	ict petsoi	ng this claim must si nauthorized to file the ne notice address abo	is claim and sta ve. Attach copy	te address and tell of power of attor	lanhana	OCT 2 9 2009
2007	134cm	~ ^	24.6	K	With KAM	Kwi	EPIO BANKRUPTCY SOLUTIONS LLC
	DR YEOH	1001 5	ENG	<u></u>	1 Par 17 17 17 17 17 17 17 17 17 17 17 17 17	,,	

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A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

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### of Claim

2009

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Lehman Programs Security Any security included on the list designated "Lehman Programs Securities" available on http://www.lchman-docket.com as of July 17,

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Acknowledgment of Filing of Claim To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your

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Cheung	Clearstream Banking - CreationOnline	5:3
Security Post Release		
Trade Details		
Type of Settlement Transaction	Securities Blocking	
Pool Reference		
Function of Message	New Message	<del></del>
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	
ISIN Code	XS0369799845	
Common Code		
Financial Instrument Description	036979984	
	USD FL.R LEHMAN BROS.TREAS 08-2011	
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type	Single	
Reason-Narrative		
Sender's Reference	NONREF	
Last Update	10/14/09 5:21 AM	
Previous Reference		
Deal Reference		
Common Reference		*******
Safekeeping Account	89529 - ABN AMRO (HK) NOMCONS. BKING CLT	
Quantity of Financial Instrument	65,000	
Quantity Type	Face Amount	
Processing Indicator	I ace Amount	
Requested Settlement Date	10/14/09	
Trade Date/Time		
Closing Date	10/14/09	
Priority		
Settlement Amount	Normal Priority	
· · · · · · · · · · · · · · · · · · ·		
Currency		
Guaranteed Delivery	No	
Deal Price		-
Deal Price Currency		
Deal Price Format		
Taxable Income Per Share		
Taxable Income Per Share Currency		
Taxable Income Per Share Format		
FX Currency		
Delivery Without Matching	Yes	
Deal		
Trano	CA73933	
Common	ON 3533	
Transaction Indicator	Settlement Activity	
Actual Settled Amount	Эешетен Асому	
Exp /Eff. Settlement Date	1011100	
Exp./Eff. Value Date	10/14/09	
Best Matching Instruction		
Settlement Parties		-
Clearstream Depository		
BIC Address	[6B] BKNY MELLON, LDN	
	IRVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction	No	
mmediate Release	No	
Delivering Agent	Clearstream	
Party	89529	
Description	UNPUBLISHED	-
Settlement parties :	W. 17 - W. 17	
Receiving Agent	Clearstream - 89529	
Settlement Processing Narrative	CONSEL ON BOOKS TORREST	
dditional Information	CONSBLOR 000036979984 OCE CONSENT YOUR INX	
nput Media	Internal	

KatharineCheung	Clearstream Banking -	CreationOnline	5:37 AM CE
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Туре	Reference		
Clearstream CA Notification	PPM4FA0003EI		
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# Royal Preferred Banking



ABN AMRO Bank N.V.

YEOH POOI SENG & LAI KAM KWI 1/F SPLENDOUR COURT 53 WONG NAI CHUNG ROAD HONG KONG

Contact: KAYAN CHAN (IST)

聯絡人

Telephone: 27006988

龟話號碼

Date: 30/05/08

日期

## **Contract Note - Structured Product Subscription** 結構性產品認購交易單據

The details of transaction are as follows: 交易詳情如下:

Investment A/C No. 投資帳戶號碼

Product Name 產品名稱

9811482

LB 2.75Y CNY Booster Himalaya Note - USD

Product / ISIN Code 產品/國際證券號碼

22MAR11 : 08PLA194NR

Issuer 發行商

: LEHMAN BROTHERS TREASURY CO BV

Underlying 相關資產

BRK/B UN Equity RICIGLER Index

PIMTRAI ID Equity : Please refer to the Term Sheet (其細節報於條件單)

Coupon Rate (%) 票面息率 . Trade Date 交易日

30/05/08 : 13/06/08 : 22/03/11

Issue Date 發行日 Maturity Date 到期日 Nominal Amount 面額

: USD 65,000.00

Nominal Amount 山崎 Purchase Price (%) 購入價百分比

100.00

Purchased Amount 購入金額 Commission 佣金

: USD 65,000.00 : USD 0.00

Commission www.
Total Settlement Amount 總結算金額

: USD 65,000.00 : 0120082285

Settlement A/C No. 結算帳戶號碼 Settlement Date 結算日

: 13/06/08

- ABN AMRO N.V.\* (the "Bank") is acting as principal in the above-mentioned transaction.
- This Contract Note should be read in conjunction with the product termistinet of the Structured Product.
- · 荷聞銀行(下籍「本銀行」)為上途交易之主題人。
- · 此母記書必須與產品條款單張一併閱讀。

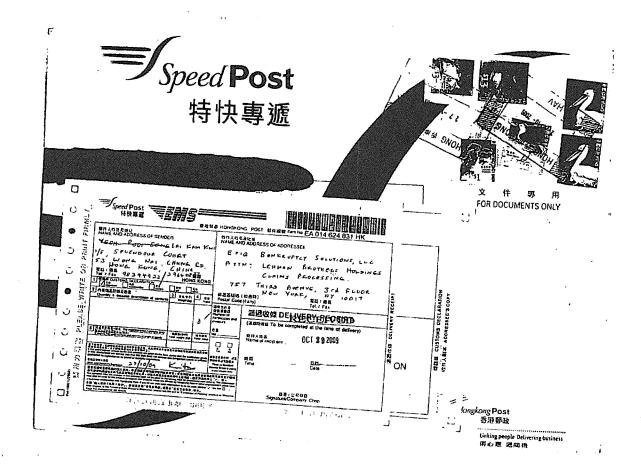
This is computer-generated document, no signature is required. 此乃電腦系統所發之文件,毋須簽署·

#### Remarks:

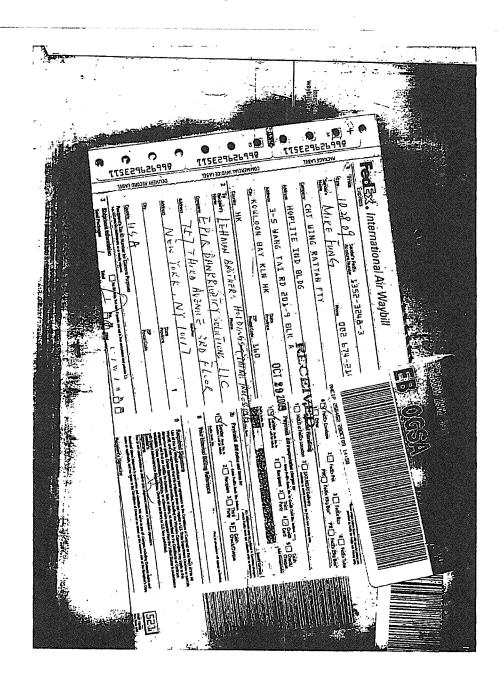
- 1. As soon as practicable after the Bank has entered into a Transaction with or for your Account, the Bank may send to you an advice, contract note or confirmation of such Transaction. Such advice contract note or confirmation is provided by the Bank for record purposes only. Any Instructions given or authorised, if accepted by the Bank, as accepted at the time of the same being given or authorised and not at the time of the issue of the contract note or confirmation.
- 2. You shall examine all advices, contract notes or confirmations as soon as you receive them and if you do not receive them within 7 days of entering into a Transaction, you shall immediate pholify the Bank and request a copy. Except for discrepancies of which you notify the Bank within 90 days from the date of such advice, contract note or confirmation, the Bank's records shall be deemed correct and binding on you (subject to the Bank's right to adjust, which may be exercised by the Bank at any time, any entries in the Account or details in the advice, contract note or confirmation where they have been wrongly or instakenly made by the Bank).
- If you make any Transaction relating to or otherwise adopt by conduct, the Transaction recorded in such advice, contract note or confirmation,
  the recorded Transaction is treated as conclusive against you notwithstanding the relevant period for raising objection has not expired.
- 4. If you change your mailing address, kindly not by A III AMRO Bank N.V. in writing.
- 5. The price of investment products can and does fluctuate, and that any individual investment products may experience upward or downward movements, and may even become valueless. There is an inherent risk that losses may be incurred rather than profit made as a result of buying and selling investment products.
- Except as otherwise indicated in the offering materials for a particular investment, investments are not deposits or other obligations of, or guaranteed or insures by ABN AMRO Bank N.V. or any of their affiliates or subsidiaries, or by any local government or insurance agendy, and are subject to investment investment.
- Securities Trading Hours
   Hong Kong Stocks: Monday to Friday, 10:00a.m. to 12:30 p.m., 2:30 p.m. to 4:00 p.m.
   Asian Stocks: Monday to Friday, 9:00 a.m. to 5:00 p.m. (Hong Kong Business Day)
- 8. ABN AMRO Bank N.V. Incorporated in Netherlands with limited liability.
- 9. ABN AMRO Bank N.V. address: 17/F Lincoln House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong.
- 10. ABN AMRO Bank N.V. acts as an intermediary unless specified. ABN AMRO (Hong Kong) Nominees Ltd. (a 100% owned subsidiary of ABN AMRO Bank N.V. providing custodian and nominees services) acts as an associated entity of the intermediary.
- 11. In case of discrepancy between the English and Chinese version, the English version shall prevail.

#### 借註

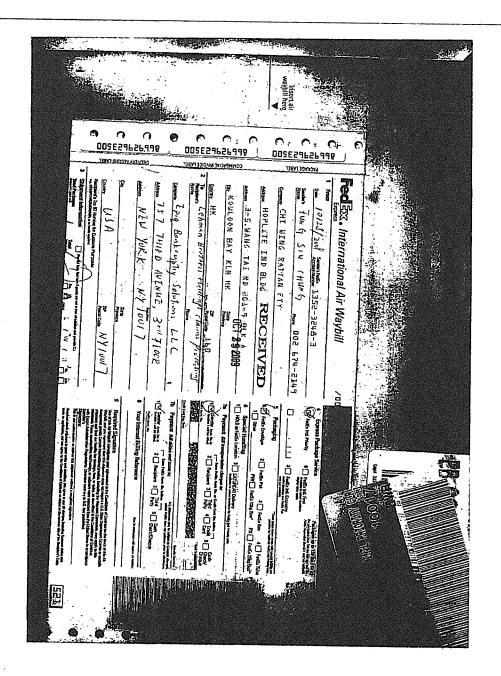
- 本銀行與你的服戶或為你的服戶進行一項交易後 將在刊行情況下盡快將交易單據、合約單據或交易確認查送交給你。本銀行提供的此等交易單值,合約單據或確認否只作記錄之用。客戶發出或授權發出的指力 一經本銀行接受,即以發出或授權發出的時候(而非有關交易單據或確認查發出的時間)為接受指示的時間。
- 2. 你收到任何交易單據、合約單據或確認實時預立即核對、若你在訂立交易後7天內仍未收到此等交易單據、合約單據或確認審,你須立即通知本銀行,並要求一份副本。除非你在此交易單據、合約單據或確認實 日期起90天內,通知本銀行任何錯誤,本銀行的記錄即被視為正確,並對你有約束力(但本銀行有權調整其錯誤作出的任何服戶登錄或交易單據、合約單據或確認可資料,而本銀行可隨時行使此項權利)。
- 若你進行獲此等交易單據、合約單胞或確認登上記錄的本易或通過行為採納此等交易,則此等已記錄的交易對你而會是屬於確定性的,而不論提出異韻的有 關期限是否已屆滿。
- 4. 関下之通訊地址如有更改,請以書面通知本行。
- 5. 投資產品價格可能會及低價會波動,而且任何一隻投資產品的價格都可能會上升及下降,甚至變成毫無價值。買賣投資產品有其內在的風險,所以未切一定能夠販取利潤,反而可能會招致損失。
- 6. 投資並非一般存款,且存在風險,包括損失本金。再者。一般投資並未獲得ABN AMRO Bank N.V.或其任何的屬或學登公司,或任何國家之當地政府,或保險公司的保證或擔保,除非其保證已列明於有關之認爲如程中。
- 7. 股票交易時間 港股:星期一至星期五·早上十時至下午十二時三十分。下午二時三十分至下午四時正 亞洲股票:星期一至星期五·早上九時至下午五時(香港)公日
- 8. 荷蘭銀行是一家於荷蘭註冊成立的有限責任公司。
- 9. 荷閣銀行地址:香港劇魚涌英皇道979號太古坊林圻大處中樓
- 10. 如非特別指明,荷蘭銀行將作為中介者。ABN AMRO (Horn Kong) Nominees Ltd.(從事提供託管及代理服務的荷蘭銀行全資附屬公司)作為中介者的聯繫實體。
- 11. 中文譯本如含義與英文有異·則以英文為準。



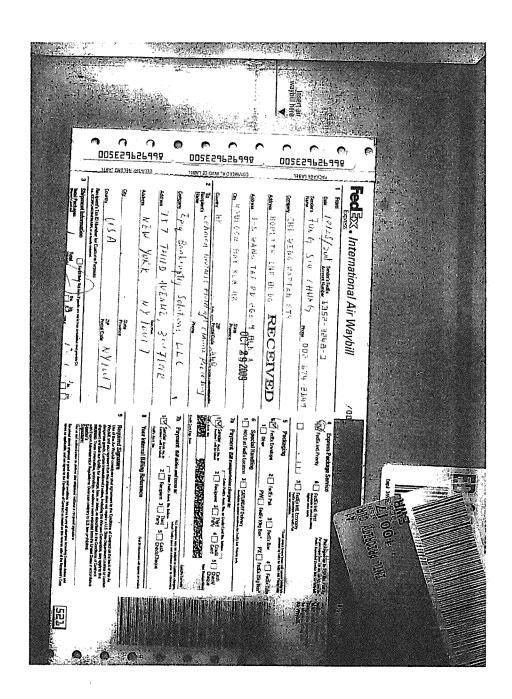
United States	Bankruptcy Court/Southe	rn District of New York		
Lehman Broth	ers Holdings Claims Proce uptcy Solutions, LLC 2.O. Box 5076	•		EURITIES PROGRAMS OF OF CLAIM
In Re:	ers Holdings Inc., et al.,	Chapter 11		outhern District of New York
Debtors.	ers Holdings inc., et al.,	Case No. 08-13555 (JMP) (Jointly Administered)		hers Holdings Inc., Et Al. 13555 (JMP) 0000055154
based on Lei	orm may not be used to oman Programs Secur <u>chman-docket.com</u> as			
		e and address where notices should be s	ent if different from Creditor)	Check this box to indicate that this
FUNG SIU Y				claim amends a previously filed claim.
דומט	1-9 BLOCK A 3-5 WANG TA	HOPLITE IND CZNTR AI ROAD 2/F KOWLOO Email Address: MIKE (6) I be sent (if different from above)	E Kowlood	Court Claim Number:(If known)
Telephone nun	iber: 852-2674 2149	Email Address: M(kz-6	Chi wina com. hk	Filed on:
				Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone num		Email Address:		
and whether su dollars, using the	nties as of September 15, ch claim matured or becan he exchange rate as applic	nc fixed or liquidated before or after Se	Programs Securities on Septemb ptember 15, 2008. The claim a filing this claim with respect to	er 15, 2008 or acquired them thereafter, amount must be stated in United States
Creditor holds determined.	USD 540, 000 in agg	regate face value of the Lehman Progra	ms Security described herein, ar	nd asserts a claim in an amount to be
Amount of Cla	nim: S(as stat	ement above) (Require	ed)	
☑ Check this	box if the amount of claim	n includes interest or other charges in a	ddition to the principal amount of	due on the Lehman Programs Securities.
2. Provide the	ne International Securities respect to more than one I	Identification Number (ISIN) for each chman Programs Security, you may at	chman Programs Security to w	high this claim relates. If you are filing
International S	Securities Identification !	Number (ISIN):XS033140053	0	(Required)
as appropriate ( Number from y	each, a "Blocking Number our accountholder (i.e. the	king Number, a Euroclear Bank Electro ") for each Lehman Programs Security bank, broker or other entity that holds rity, you may attach a schedule with the	for which you are filing a claim such securities on your behalf	You must acquire a Blocking
Clearstream B number:	ank Blocking Number, E	Curoclear Bank Electronic Instruction	Reference Number and or ot	her depository blocking reference
	CA64541	(Required)		
you are ming th	ns claim. You must acquir	e the relevant Clearstream Bank, Euroc	lear Bank or other denository na	ur Lehman Programs Securities for which rticipant account number from your hould not provide their personal account
Accountholder	s Euroclear Bank, Clear	stream Bank or Other Depository Pa	rticipant Account Number:	
	89529	(Required)		
consent to, and disclose your id	are deemed to have author	stream Bank or Other Depository: B rized, Euroclear Bank, Clearstream Ban man Programs Securities to the Debtor	k or other denository to	FILED   RECEIVED
Date.	the creditor or other pers	iling this claim must sign it. Sign and p son authorized to file this claim and stat the notice address above. Attach copy	e address and telephone	OCT 2 9 2009
10/28/09		73/		EPIG BANKRUPTCY SOLUTIONS, LLC



United State	s Bankruptcy Court/Sout	hern District of Nev	v Vark			
Lehman Bro c/o Epiq Ban FDR Station	thers Holdings Claims Pro kruptcy Solutions, LLC , P.O. Box 5076 IY 10150-5076	cessing Center		LEHI	PROC	URITIES PROGRAMS OF OF CLAIM
	thers Holdings Inc., et al.,	Chapter 11 Case No. 08-135 (Jointly Adminis	555 (JMP) stered)		Lehman Brothers	ern District of New York Holdings Inc., Et Al. 55 (JMP) 0000055213
based on L http://www	form may not be used chman Programs Secu <u>Jehman-docket.com</u> a	trities as listed on s of July 17, 2009				. On Court use only
Name and ad	dress of Creditor: (and nar	ne and address when	re notices sho	uld be sent if different f	rom Creditor)	☐ Check this box to indicate that this
FUNG SIU	109.	2/F BLK A	HOPLITE	E IND CTR		claim amends a previously filed claim.
		3-5 WANG HONG KONG	TAI R	UAD, KOLLOW	•	Court Claim Number:
Telephone nu	mber: (852) 94829 dress where payment shou	349 Email	Address: N	EWLEY & CHIWIN	6. Com. Hk	Filed on:
Name and add	dress where payment shou	ld be sent (if differe	nt from above	)		☐ Check this box if you are aware that
Telephone nu			Address:			anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
and whether s dollars, using	uch claim matured or beca	rne fixed or liquidat	ed before or a	ifter September 15, 200	ties on Septembe 8. The claim an	the amount owed under your Lehman r 15, 2008 or acquired them thereafter, nount must be stated in United States ore than one Lehman Programs Security,
l						asserts a claim in an amount to be
Amount of Cl	laim: \$(as sta	tement above)	Œ	(equired)		
				• • •		
2. Provide t	he International Securities	Identification Num	or other charg	es in addition to the prin	ncipal amount du	e on the Lehman Programs Securities. ch this claim relates. If you are filing
this claim with which this clai	respect to more than one m relates.	Lehman Programs S	Security, you r	may attach a schedule w	ith the ISINs for	ch this claim relates. If you are filing the Lehman Programs Securities to
	Securities Identification		XS0349			(Required)
Number from y to more than or claim relates.	your accountholder (i.e. the ne Lehman Programs Secu	e bank, broker or other trity, you may attach	her entity that a schedule w	holds such securities or ith the Blocking Numb	e filing a claim.  your behalf), If ers for each Lehn	Propository blocking reference number, You must acquire a Blocking you are filing this claim with respect nan Programs Security to which this
number:		Surocient Bank En	etronic instr	uction Reference Num	iber and or othe	r depository blocking reference
d Demoid-of	CA38291		(Required)	- man		
<ol> <li>Provide the you are filing the accountholder (numbers.</li> </ol>	ne Clearstream Bank, Euro nis claim. You must acquit i.e. the bank, broker or oth	clear Bank or other e the relevant Clear ter entity that holds	depository pa stream Bank, such securitie	rticipant account numbe Euroclear Bank or other s on your behalf). Bene	er related to your r depository parti ficial holders sho	Lehman Programs Securities for which cipant account number from your uld not provide their personal account
Accountholder	s Euroclear Bank, Clear 89529	stream Bank or Ot	her Deposito (Required)	ry Participant Accoun	t Number:	
5. Consent t	o Euroclear Bank, Clear	stream Bank or O	har Denocito	mus Do. Glina ship at the		FOR COURT USE ONLY
disclose your id	are deemed to have author entity and holdings of Leh ms and distributions.	ized, Euroclear Han	k ('learstream	a Rank or other demonit.		FILED / RECEIVED
Date.	Signature: The person f	iling this claim mus	tsien it Sien	and print name and side	ifany -f	LITER   WEDELAED
	the creditor or other pers number if different from	on aumorized to file	this claim an	d state address and talo	mbana I	OCT 2 9 2009
10/02/12/01	4	FUNG	Siu	CHUNG CRE	Dizie	EPIQ BANKRUPTCY SOLUTIONS, LLC



United States Bankruptcy Court/Southe	rn District of New York		
Lehman Brothers Holdings Claims Proce c/o Epiq Bankruptey Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	ssing Center		URITIES PROGRAMS OF OF CLAIM
In Re:	Chapter 11	Filed Jigne	
Lehman Brothers Holdings Inc., et al.,	Case No. 08-13555 (JMP)	Lehman Bi	Southern District of New York rothers Holdings Inc., Et Al.
Debtors.	(Jointly Administered)	d	8-13555 (JMP) 0000055214
Note: This form may not be used to based on Lehman Programs Secur http://www.lehman-docket.com as	ities as listed on of July 17, 2009		33000352,4
Name and address of Creditor: (and name	and address where notices should be so	ent if different from Creditor)	☐ Check this box to indicate that this
FUNG SIU CHUNG ADD.		IND CIR	claim amends a previously filed claim.
	3-5 WANG TAI ROM HUNG KONG		Court Claim Number:(If known)
Tolophone number (25) 9/62 83	ua rana varanti ilenii ex	(B) Physiological Communication	Filed on:
Telephone number: (352) 9482 13 Name and address where payment should	be sent (if different from above)	& CHIWING COM AIR	☐ Check this box if you are aware that
Telephone number:	Email Address:		anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
and whether such claim matured or becam dollars, using the exchange rate as applica you may attach a schedule with the claim	ne fixed or liquidated before or after Sep able on September 15, 2008. If you are f	Programs Securities on Septembe otember 15, 2008. The claim ar Iling this claim with respect to me curity to which this claim relates	r 15, 2008 or acquired them thereafter, mount must be stated in United States nore than one Lehman Programs Security,
And a period of the			
Amount of Claim: \$ (as state	ement above) (Require	d)	
<ol> <li>Check this box if the amount of claim</li> <li>Provide the International Securities this claim with respect to more than one L which this claim relates.</li> </ol> International Securities Identification N		chman Programs Security to wh ach a schedule with the ISINs for	ich this claim relates. If you are filing
as appropriate (each, a "Blocking Number Number from your accountholder (i.e. the to more than one Lehman Programs Secur claim relates.	bank, broker or other entity that holds s ity, you may attach a schedule with the	for which you are filing a claim. uch securities on your behalf). Il Blocking Numbers for each Leh	You must acquire a Blocking you are filing this claim with respect man Programs Security to which this
Clearstream Bank Blocking Number, E	uroclear Bank Electronic Instruction	Reference Number and or oth	er depository blocking reference
CA38029	(Required)		
you are filing this claim. You must acquire accountholder (i.e. the bank, broker or oth numbers.	clear Bank or other depository participar e the relevant Clearstream Bank, Eurocler er entity that holds such securities on yo	ear Bank or other depository part our behalf). Beneficial holders sh	r Lehman Programs Securities for which ticipant account number from your ould not provide their personal account
Accountholders Euroclear Bank, Clears	tream Bank or Other Depository Par	ticipant Account Number:	
89529	(Required)		
consent to, and are deemed to have author disclose your identity and holdings of Lehreconciling claims and distributions.  Date. Signature: The person fithe creditor or other persons.	stream Bank or Other Depository: By ized, Euroclear Bank, Clearstream Bank man Programs Securities to the Debtors illing this claim must sign it. Sign and pron authorized to file this claim and state the notice address above. Attach copy of	or other depository to for the purpose of int name and title, if any, of address and telephone	FILED / RECEIVED  OCT 2 9 2009
27/10/2007	FUNG SIU CH		EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting fraudulent	claim: Fine of up to \$500,000 or im	prisonment for up to 5 years, or 1	both 18 U.S.C. 88 152 and 3571



United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076  LEHMAN SEC	CURITIES PROGRAMS OF OF CLAIM
Debtors Holdings Inc., et al., Case No. 08-13555 (JMP)	outhern District of New York hers Holdings Inc., Et Al. -13555 (JMP) 0000055222
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)	☐ Check this box to indicate that this
LU TSAIMEI	claim amends a previously filed claim
RM 1107, BLK C, (ELPORD GARDEN,	Court Claim Number:
Rm 1107, BLK C, TELFORD GARDEN,  KOMOON  Telephone number: 987 x 92 Email Address: PG T CANDY & hotmail. (or  Name and address where payment should be sent (if different from above)	(If known)
Telephone number: 9887 2592 Email Address: DQ I Candy @ hotmail. (or	Filed on:
Name and address where payment should be sent (if different from above)	
	anyone else has filed a proof of claim relating to your claim. Attach copy of
Telephone number: Email Address:	statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relate	ber 15, 2008 or acquired them thereafter, amount must be stated in United States
Creditor holds USD 150,000 in aggregate face value of the Lehman Programs Security described herein, as determined.	nd asserts a claim in an amount to be
Amount of Claim: \$ (as statement above) (Required)	
Check this box if the amount of claim includes interest or other charges in addition to the principal amount of	dua on the Laborato Bassas and
<ol> <li>Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to withis claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for which this claim relates.</li> </ol>	111444
International Securities Identification Number (ISIN): XS0349511872	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf), to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Leclaim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other number.	You must acquire a Blocking If you are filing this claim with respect hman Programs Security to which this
number:  CA64893 (Required)	mer depository blocking reference
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to yo you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository pa accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders sinumbers.	
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:	,
89529 (Required)	
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of	FOR COURT USE ONLY
reconcing claims and distributions.	FILED / RECEIVED
Date.  Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.	OCT 2 9 2009
2) Oct 2008 & A A	EPIO BANKRUPTCY SOLUTIONS, LLC

## Royal Preferred Banking



ABN AMRO Bank N.V.

LU TSAI MEI ROOM 1107 11/F BLOCK C TELFORD GARDENS KOWLOON BAY KLN HK

Contact: JOSEPHINE CHUNG (PST)

聯絡人

Telephone: 35132618

電話號碼

Date: 20/02/08

日期

## Contract Note - Structured Product Subscription 結構性產品認購交易單據

The details of transaction are as follows: 交易詳備如下:

Investment A/C No. 投資帳戶號碼 : 9808311

Product Name 產品名稱 : LB 1Y USD Daily Callable Fixed Coupon Note S081

Product / ISIN Code 產品/國際證券號碼 : 05MAR09

Issuer 發行商 : LEHMAN BROTHERS TREASURY CO BV Underlying 相關資產 : CHINA RAILWAY GROUP LTD - H SHARES CHINA MOBILE (HONG KONG) LTD

Coupon Rate (%) 緊面息率 CHINA COMMUNICATIONS CONST CO-H Please refer to the Term Sheet (其細節載於條件單)

 Trade Date 交易日
 : 20/02/08

 Issue Date 發行日
 : 05/03/08

 Maturity Date 到期日
 : 05/03/09

 Nominal Amount 面額
 : USD 150,000.00

 Purchase Price (%) 購入價百分比
 : 100.00

 Purchased Amount
 購入金額
 : USD 150,000.00

 Commission
 佣金
 : USD 0.00

 Total Settlement Amount
 總結算金額
 : USD 150,000.00

 Settlement A/C No.
 結算帳戶號碼
 : 0100443028

 Settlement Date
 結算日
 : 05/03/08

## Remarks:

- ABN AMRO N.V.\* (the "Bank") is acting as principal in the above-mentioned transaction.

- This Contract Note should be read in conjunction with the product termsheet of the Structured Product.

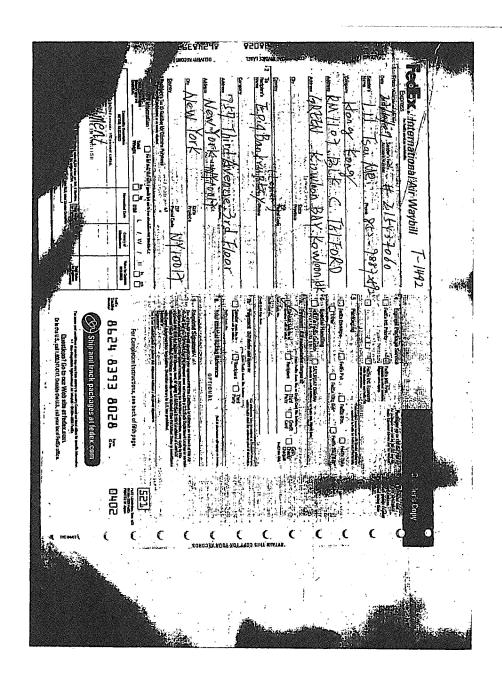
(SEE:

· 而關銀行(下標「本銀行」)房上建交易之主事人。

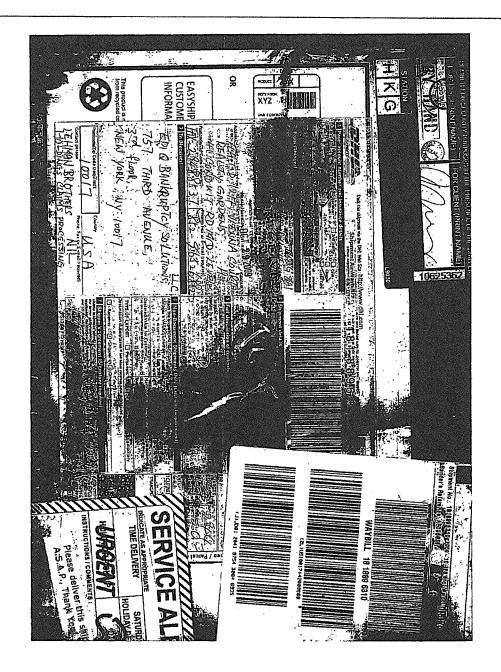
· 此俄即衛必須與萊島條款即獲一併閱讀。

This is computer-generated document, no signature is required. 此乃電腦系統所發之文件册須簽署。

eCheung	Clearstream Banking - CreationOnline	9:39 AN
Security Post Release		
Trade Details		62º
Type of Settlement Transaction	Securities Blocking	707
Pool Reference		
Function of Message	New Message	
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	_
ISIN Code	XS0349511872	
Common Code	034951187	
Financial Instrument Description	USD 19,20 LEHMAN BROS.TREAS 08-2009	
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type	Single	
Reason-Narrative	Sittyte	
Sender's Reference	NOVE	
	NONREF	
Last Update	10/13/09 9:32 AM	
Previous Reference		
Deal Reference		
Common Reference		
Safekeeping Account	89529 - ABN AMRO (HK) NOM-CONS. BKING CLT	
Quantity of Financial Instrument	150,000	
Quantity Type	Face Amount	
Processing Indicator		
Requested Settlement Date	10/13/09	
Trade Date/Time	10/13/09	$\neg$
Closing Date		
Priority	Normal Priority	
Settlement Amount		
Currency		
Guaranteed Delivery	No	
Deal Price		
Deal Price Currency		
Deal Price Format		
Taxable Income Per Share		
Taxable Income Per Share Currency		
Taxable Income Per Share Format		
FX Currency		
Delivery Without Matching	Yes	
Deal		
Trano	CA64893	
Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount		<del></del>
Exp./Eff. Settlement Date	10/13/09	
Exp./Eff. Value Date		
Best Matching Instruction		
Settlement Parties		
Clearstream Depository	[6B] BKNYMELLON, LDN	
BIC Address	IRVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clears tream	
Physical Transaction	No	
Immediate Release	No	
Delivering Agent	Clearstream	
Party Description	89529 UNPUBLISHED	
CLESCHBRON	II INDI IN ICHED	ı



United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076	PROOFOECLAIM
New York, NY 10150-5076	Filed: USBC - Southern District of New York
In Re: Chapter 11	Lehman Brothers Holdings Inc., Et Al.
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)  Debtors. (Jointly Administered)	08-13555 (JMP) 0000055282
Debtors. (Jointly Administered)	
Note: This form may not be used to file claims other than those	
based on Lehrnan Programs Securities as listed on	
http://www.lefman-docket.com as of July 17, 2009	**************************************
Name and address of Creditor: (and name and address where notices should be sa	ent if different from Creditor)
AU YEUNG TUNG FAI	claim amends a previously filed claim.
FLATD, 12/F VIEUNA COURT REALT	-V CADDENC CONTRACT
AL CONDUTT POAD W-	Court Claim Number: (If known)
FLAT.D, 12/F VIENNA COURT, REALT 41 CONDUIT ROAD, MID LEVELS, H	ONG RONG
Telephone number: 852 - 96526882 Email Address: + fall Name and address where payment should be sent (if different from above)	wang@yahoo. com, Filed on:
Name and address where payment should be sent (if different from above)	AR Check this box if you are aware that
N//L	V V anyone else has filed a proof of claim relating to your claim. Attach copy of
17/77	statement giving particulars
Telephone number: Email Address:	
1. Provide the total amount of your claim based on Lehman Programs Securiti	es. Your claim amount must be the amount owed under your Lehman
Programs Securities as of September 15, 2008, whether you owned the Lehman I and whether such claim matured or became fixed or liquidated before or after Se	Programs Securities on September 15, 2008 or acquired them thereafter,
dollars, using the exchange rate as applicable on September 15, 2008. If you are	filing this claim with respect to more than one Lebman Programs Security
you may attach a schedule with the claim amounts for each Lehman Programs Se	curity to which this claim relates.
Creditor holds USD 60, 000 in aggregate face value of the Lehman Program	- C
determined.	s Security described herein, and asserts a claim in an amount to be
Amount of Claim: S (as statement above) (Require	.n
	u)
<ul> <li>Check this box if the amount of claim includes interest or other charges in ac</li> <li>Provide the International Securities Identification Number (ISIN) for each I</li> </ul>	Idition to the principal amount due on the Lehman Programs Securities.
<ul> <li>☑ Check this box if the amount of claim includes interest or other charges in at</li> <li>2. Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may att</li> </ul>	Idition to the principal amount due on the Lehman Programs Securities.
<ul> <li>Check this box if the amount of claim includes interest or other charges in ac</li> <li>Provide the International Securities Identification Number (ISIN) for each I</li> </ul>	Idition to the principal amount due on the Lehman Programs Securities.
<ul> <li>☑ Check this box if the amount of claim includes interest or other charges in at</li> <li>2. Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may att</li> </ul>	idition to the principal amount due on the Lehman Programs Securities.  Lehman Programs Security to which this claim relates. If you are filing ach a schedule with the ISINs for the Lehman Programs Securities to
Check this box if the amount of claim includes interest or other charges in at 2. Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may att which this claim relates.  International Securities Identification Number (ISIN): XS033625022	idition to the principal amount due on the Lehman Programs Securities.  Lehman Programs Security to which this claim relates. If you are filing ach a schedule with the ISINs for the Lehman Programs Securities to
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United States Bankruptcy Court/Southern District of New York		
Lehman Brothers Holdings Claims Processing Center	LEHMAN SEC	URITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076		F OF CLAIM
New York, NY 10150-5076		
In Re: Chapter 11 Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	11 11 11 11 11 11 11 11 11 11 11 11 11	rn District of New York
Debtors. (Jointly Administered)	08-1355	Holdings Inc., Et Al. [5 (JMP) 0000055283
Note: This form was and be well a fit of the other dead and	HI 14 <b>4/1044</b> 4414 114	FO HENTELDE DIS
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on		
http://www.lehman-docket.com as of July 17, 2009		
Name and address of Creditor: (and name and address where notices should be so	ent if different from Creditor)	☐ Check this box to indicate that this
ALL VEIBLE CIBIC DAT	" · · · · · · · · · · · · · · · · · · ·	claim amends a previously filed claim.
FLAT D, 12/F, VIENNA COURT, REALTY	GARDENS.	Court Claim Number:
41 CONDUIT ROAD, MID LEVELS, HI	ONG KONG.	(If known)
FLAT D, 12/F, VIENNA COURT, REALTY 41 CONDUIT ROAD, MID LEVELS, HO Telephone number: 852-96526882 Email Address: 4604 Name and address where payment should be sent (if different from above)	LOUISO Walnes COM	Filed on:
Name and address where payment should be sent (if different from above)	gearigite yanoo.com.	Check this box if you are aware that
	y · ne	anyone else has filed a proof of claim
N/A		relating to your claim. Attach copy of statement giving particulars
Telephone number: Email Address:		Section and Particulars
1. Provide the total amount of your claim based on Lehman Programs Securiti	es. Your claim amount must be t	he amount owed under your Lehman
Programs Securities as of September 15, 2008, whether you owned the Lehman F and whether such claim matured or became fixed or liquidated before or after Sep	rograms Securities on Septembe	r 15, 2008 or acquired them thereafter,
dollars, using the exchange rate as applicable on September 15, 2008. If you are f	lling this claim with respect to m	ore than one I shown Dramama Camina.
you may attach a schedule with the claim amounts for each Lehman Programs Se	curity to which this claim relates	
Creditor holds HKD 500, 000 (equivalent to USD 64, 181. 56 at the conversion of the Lehman Programs Security described herein, and asserts a claim in an amo	ate of USD/HKD 7.7904 as at Sount to be determined.	ptember 15, 2008) in aggregate face value
Amount of Claim: \$ (as statement above) (Require		
☐ Check this box if the amount of claim includes interest or other charges in ad	dition to the principal amount di	on the Lehman Programs Securities
<ol><li>Provide the International Securities Identification Number (ISIN) for each I</li></ol>	ehman Pinorama Security to sub	ch this claim seletes If you are filling
this claim with respect to more than one Lehman Programs Security, you may atta- which this claim relates.	ich a schedule with the ISINs for	the Lehman Programs Securities to
International Securities Identification Number (ISIN): XS03257		(Required)
<ol> <li>Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehtman Programs Security</li> </ol>	ic Reference Number, or other d	
as appropriate teach a processing number 1 for each Learnan Ambraine Section		epository blocking reference number,
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Schedule of 7th, 8th, 9th, and 10th Distributions Received From Lehman Brothers Treasury BV

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